



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,403	03/22/2001	Yihua Chang	11302-1190 (44040-256047)

CONFIRMATION NO. 3830

FORMALITIES LETTER



OC00000006029808

Robert E. Richards, Esq.
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2400 Monarch Tower
3424 Peachtree Road, N.E.
Atlanta, GA 30326

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$480.
 - \$480 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1320.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/06/2001 MYUSIF1 00000113 09814403

710.00 OP
480.00 OP
130.00 OP

01 FC:101
02 FC:102
03 FC:105

5/11



IN THE U.S. PATENT AND TRADEMARK OFFICE

Section PATENTS #3

In re application of: **CHANG ET AL.**

Docket No. 11302-1190 (44040-256047)

Serial No. **09/814,403**

Filed: **March 22, 2001**

For: **WATER-DISPERSIBLE, CATIONIC POLYMERS, A METHOD OF MAKING SAME AND ITEMS USING SAME**

RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

Transmitted herewith are papers in the above-identified application.

- Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.
- Declaration and Power of Attorney (4 pages).
- Petition for Extension of Time Under 37 C.F.R. § 1.136.
- The additional fee is calculated as shown below.
- Applicant claims small entity status.

FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$355		\$710	710
TOTAL CLAIMS	9 - 20 =	0	x9 =		x18 =	
INDEP. CLAIMS	9 - 3 =	6	x40 =		x80 =	480
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =		+130 =	130
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER					+435 =	
TOTAL					TOTAL	\$1,320

- A check in the amount of **\$1,320** is attached for the filing fee.
- A check in the amount of **\$110** is attached for the extension of time.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on **July 30, 2001**.

Robert E. Richards

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By:
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